



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/779,438	02/13/2004	Colin McCullough	56873US002	8765

32692	7590	04/20/2007
3M INNOVATIVE PROPERTIES COMPANY		
PO BOX 33427		
ST. PAUL, MN 55133-3427		

EXAMINER	
SAVAGE, JASON L	

ART UNIT	PAPER NUMBER
1775	

SHORTENED STATUTORY PERIOD OF RESPONSE	NOTIFICATION DATE	DELIVERY MODE
3 MONTHS	04/20/2007	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Notice of this Office communication was sent electronically on the above-indicated "Notification Date" and has a shortened statutory period for reply of 3 MONTHS from 04/20/2007.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

LegalUSDocketing@mmm.com
LegalDocketing@mmm.com

Office Action Summary

Application No.

10/779,438

Applicant(s)

MCCULLOUGH ET AL.

Examiner

Jason L. Savage

Art Unit

1775

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 05 February 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-47 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-47 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-47 are rejected under 35 U.S.C. 103(a) as being unpatentable over McCullough et al. (US 6,344,270) in view of Maschinenkunde (English Translation).

McCullough teaches a composite wire or cable that includes fiber reinforced metal matrix composites comprising a core containing at least one tow comprising a plurality of substantially continuous, longitudinally positioned reinforcing fibers of ceramic or carbon which is encapsulated within a metal matrix (col. 3, ln. 31-45). McCullough further teaches that the wire or cable may have a metal covering the metal matrix composite core (col. 9, ln. 21-65 and figures 4-5). However, McCullough does not exemplify an embodiment wherein the metal matrix composite core comprises a metal cladding.

Maschinenkunde forming a cladding sheathing coating by extrusion on a composite wire or cable core containing at plurality of fibers provides seamless outer coatings having good dimensional accuracy, concentricity and good surface quality (first paragraph). It would have been obvious to one of ordinary skill in the art to have modified the composite wire or cable of McCullough by following the teaching of Maschinenkunde of cladding the composite wire with the sheathing coating formed by extrusion in order to have produced a wire having seamless outer coatings having good

Art Unit: 1775

dimensional accuracy, concentricity and good surface quality. It is well settled that the test of obviousness is not whether the features of one reference can be bodily incorporated into the structure of another and proper inquiry should not be limited to the specific structure shown by the references, but should be into the concepts fairly contained therein, and the overriding question to be determined is whether those concepts would suggest to one of ordinary skill in the art the modifications called for by the claims, *In re Van Beckum*, 169 USPQ 47 (CCPA 1971), *In re Bozek*, 163 USPQ 545 (CCPA 1969); *In re Richman*, 165 USPQ 509 (CCPA 1970); *In re Henley*, 112 USPQ 56 (CCPA 1956); *In re Sneed*, 218 USPQ 385 (Fed. Cir. 1983).

In response to the issue whether the reference is nonanalogous art, it has been held that the determination that a reference is from a nonanalogous art is twofold. First, one decides if the reference is within the field of the inventor's endeavor. If it is not, one proceeds to determine whether the reference is reasonably pertinent to the particular problem with which the inventor was involved, *In re Wood*, 202 USPQ 171, 174. In the instant case, both McCullough and Maschinenkunde are generally drawn to forming wire or cables that include a core containing a plurality of fibers having a metal covering the composite core.

McCullough also teaches that the wire or cable has a roundness value of at least 0.95, a roundness uniformity value of not greater than 1.5%, and a diameter uniformity value of not greater than 0.5% over a length of at least 100 meters (col. 1, ln. 57 – col. 2, ln. 6). McCullough further exemplifies embodiments wherein the roundness

uniformity value is as low 0.94% and the diameter uniformity value of 0.21% (Table 1, runs 12 and 6 respectively).

Although the prior art does not exemplify embodiments having the claimed properties, it teaches that the claimed properties as being maximum or minimum values with no upper or lower limit boundaries being specified. As such, it would have been obvious to one of ordinary skill in the art to have formed the metal-clad metal matrix composite wire having a roundness uniformity value lower than the 1.5% and a diameter uniformity value lower than the 0.5% including having values within the ranges claimed by Applicant. Furthermore, McCullough exemplifies embodiments having values that are so close that prima facie one skilled in the art would have expected them to have the same properties, *Titanium Metals Corporation of America V. Banner*, 227 USPQ 773.

Regarding claim 25, McCullough teaches what is set forth above but does not exemplify an embodiment wherein the roundness value is at least 0.98. However, as was set forth above, since McCullough only teaches the minimum value for the roundness value of being at least 0.95, higher values including that claimed by Applicant would have been obvious.

Regarding claims 2 and 26, McCullough teaches embodiments comprising multiple tows (Figure 4). Furthermore, McCullough teaches the composite wire or cable typically contains a plurality of tows (col. 1, ln. 40-48).

Regarding claims 3-4 and 27-28, since McCullough teaches the same wire structure as that claimed by Applicant including having a metal cladding covering, the

Art Unit: 1775

wire of McCullough would be just as plastically deformable and just as effective to dampen recoil effects to prevent secondary fractures and the as the wire claimed by Applicant.

Regarding claims 5 and 29, the clad wire of McCullough would exhibit a larger strain to failure as compared to the strain to failure exhibited by an unclad wire.

Regarding claims 6-8 and 30-31, McCullough teaches the matrix material may be aluminum, zinc, tin and alloys thereof and further teaches that the matrix material is preferably aluminum having a purity of greater than 99.95% by weight (col. 6, ln. 1-16).

Regarding claims 9-13 and 32-36, McCullough is silent to the material used to form the metal cladding. However, it would have been within the purview of one of ordinary skill in the art to have recognized what materials could suitably be used to clad the wire. Given the teaching that the matrix material is preferably pure aluminum, it would have been obvious to have used high purity aluminum as the metal cladding as well with a reasonable expectation of success. A cladding of aluminum would meet the claim limitations of having a melting point less than 700 C.

Regarding claims 14 and 37, McCullough is silent to the cladding thickness. However it would have been within the purview of one of ordinary skill in the art at the time of the invention to have determined the thickness of the cladding layer that would be necessary in order to maintain the wires in the core in a stranded configuration (col. 9, ln. 32-38). Absent a teaching of the criticality or showing of unexpected results due to the claimed thickness, it would not provide a patentable distinction over the prior art.

Regarding claims 15 and 38, McCullough teaches that at least 85% of the wires in the tow are continuous (col. 3, ln. 31-44).

Regarding claims 16 and 39, McCullough teaches the core comprise between 30 to about 70 volume % of fibers and preferably between 40 to 60 volume % of fibers (col. 5, ln. 45-53).

Regarding claims 17-19 and 40-42, McCullough teaches the fibers are ceramic oxide fibers such as polycrystalline alpha alumina based fibers wherein the fibers comprise at least 99 percent by weight of alumina (col. 4, ln. 17-31).

Regarding claims 20-24 and 43-47, McCullough teaches a plurality of the metal clad composite wires may be helically stranded to form a permanently set cable and that the cable may also include core and shell structure comprising a shell of secondary aluminum wires (col. 8, ln. 52-68).

Response to Arguments

Applicant's arguments filed 2-5-07 have been fully considered but they are not persuasive.

Applicant argues on the bottom of page 6 of the response filed 2-5-07 that Maschinenkunde does not provide a "cladding" and that the sheathing of Maschinenkunde is not "cladded" to anything, let alone wire or cables within the "sheathing". Applicant concludes that even if '270 (McCullough) and Maschinenkunde were combined the result would not be Applicant's claimed invention which requires a

metal-cladded composite having a metal cladding covering the exterior surface of the metal matrix composite core.

However, Applicant has not defined "cladded" or "cladding" having any particular structure or properties. As is demonstrated from the definition of "cladding" from dictionary.com, clad and cladding may be defined as:

1. To sheathe or cover (a metal) with a metal.
2. To cover with a protective or insulating layer of other material.

Since Maschinenkunde teaches the cladding as a sheathing covering the cable core, it would meet the definition of being a cladded layer and/or cladding such as is claimed.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

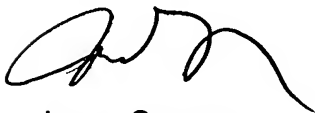
A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Art Unit: 1775

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jason L. Savage whose telephone number is 571-272-1542. The examiner can normally be reached on M-F 6:30-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jennifer McNeil can be reached on 571-272-1540. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



Jason Savage
4-13-07



JENNIFER MCNEIL
SUPERVISORY PATENT EXAMINER

4/16/7